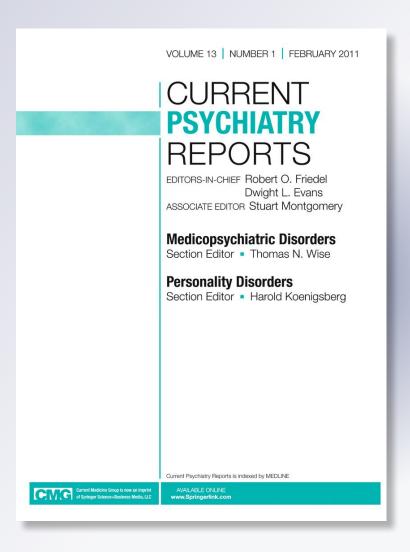
# Attachment and its Vicissitudes in Borderline Personality Disorder

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### Attachment and its Vicissitudes in Borderline Personality Disorder

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**Abstract** This article reviews the recent literature on attachment and attachment-related constructs in borderline personality disorder, with attention given to how recent findings in this area may inform understanding of the mechanisms underlying the etiology, maintenance, and treatment of the disorder. Most findings on this topic have stemmed from three major areas of research, each of which is reviewed in this article: 1) developmental psychopathology studies; 2) experimental psychopathology studies, particularly those using a neuroscience approach; and 3) treatment studies that have examined variables relevant to attachment. Overall, these findings suggest that attachment and related constructs may factor greatly into the underlying psychopathology of borderline personality disorder and may significantly impact the process and outcome of psychotherapy for the disorder. These findings are discussed as they relate to existing theories and ongoing debates in the field, and the implications for future research and clinical practice are highlighted.

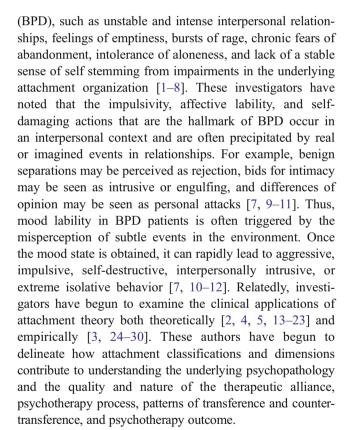
**Keywords** Attachment · Borderline personality disorder · Developmental psychopathology · Neurobiology · Reflective processes · Interpersonal relationships · Psychotherapy

#### Introduction

Clinical researchers and theorists have begun to understand fundamental aspects of borderline personality disorder

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In the present article, we examine the literature over the past few years that is relevant to attachment and BPD, with an emphasis on important new findings that bear on prevailing theories and controversies. We focus on three areas in particular: 1) developmental psychopathology studies; 2) experimental psychopathology studies, particularly in the areas of neuroscience; and 3) psychotherapy and intervention science studies that have examined attachment constructs or attachment-relevant constructs in BPD. Finally, we summarize the implications and



principles that can be derived from the findings reviewed.

#### **Developmental Psychopathology**

Attachment and the Developmental Trajectory of Borderline Symptoms and Related Dysfunction

Findings from recent prospective, longitudinal studies on the development of BPD outline many potential antecedents of the disorder and highlight the importance of very early experience—particularly the parent-child relationship —in predicting later BPD symptomatology. In one study, Carlson and colleagues [31...] assessed participants on a variety of domains from infancy to adulthood and found that in addition to infant temperament and disposition, several early relational factors predicted adult BPD symptoms. In particular, disorganized infant attachment (18 months), maltreatment (12-18 months), maternal hostility and boundary confusion (18-42 months), family disruption related to presence of father (12–64 months), and overall family stress (3–42 months) were predictive of later borderline symptoms. Additionally, disturbances in emotion regulation, behavior, attention, relationship functioning, and self-representation in adolescence were also predictive of adult borderline symptoms. Using a similar design, Crawford and colleagues [32] examined the trajectory of BPD symptoms over time with a particular focus on the effect of maternal separations before age 5 years. Extended early separations lasting 1 month or more—particularly separations due to personal and professional reasons—were predictive of more BPD symptoms in adolescence and early adulthood, as well as slower developmental declines in symptoms. Difficult temperament in middle childhood, child abuse, and attachment anxiety and avoidance in adolescence were also predictive of adult BPD symptoms, with only temperament acting as a partial mediator between early separations and later symptoms. Thus, early attachment experiences, particularly coupled with constitutional vulnerabilities, appear to be robust predictors of later borderline personality pathology.

Consistent with some theoretical models of the development of BPD, both of the above studies highlight the central role of attachment and attachment representations in the development of the disorder [33, 34]. In particular, both studies suggest that disruption in early attachment relationships may influence later personality pathology by leading to a series of disturbed mental representations that are elaborated and consolidated over the life span. For example, Crawford and colleagues [32] posit that early mother—child separations may fill the child with confusion and that the child may blame himself or herself for these

separations, ultimately contributing to disturbed representations of self and others (i.e., self as unworthy of love/ attention, others as rejecting). Carlson and colleagues [31••] demonstrated that representations measured in childhood and adolescence mediated the relationship between infant attachment disorganization and adult BPD symptoms. This finding suggests that early disorganized attachment and related experiences may influence later personality pathology by affecting the quality of self-other representations. Conversely, the development of coherent and integrated representations may protect against disorganized attachment. This idea is consistent with findings from Fonagy and colleagues [3], who found that in a sample of clinical patients, individuals with high mentalizing ability were less likely to be unresolved for past traumatic experiences and, despite significant trauma histories, less likely to meet criteria for BPD.

Focus on adolescence and early adulthood has also been informative in elucidating the relationship between attachment and the development of symptoms related to BPD. For instance, measured in early adolescence, preoccupied attachment predicts increased sexual risk taking and aggressive behaviors over the course of adolescence, as well as steeper rates of growth in these behaviors [35]. Given the similarity of these behaviors to some of the core features of BPD (i.e., impulsivity, deficits in self-regulation), this pattern of findings suggests that preoccupied attachment could be related to the development of BPD. This finding is consistent with existing literature documenting the relatively high rate of preoccupied attachment in BPD [3, 30, 36]. Furthermore, more recent work has demonstrated that individuals with BPD score high on ratings of both preoccupied and fearful attachment compared with non-BPD controls, with the combination of these styles indicating specificity for BPD [37, 38]. Interestingly, in an investigation of potential pathways among attachment, personality features, and borderline symptoms, Scott and colleagues [39] found that trait impulsivity and negative affect fully mediate the relationship between attachment anxiety and BPD symptoms in young adults, suggesting that these temperamental traits may lead to BPD when they occur in the context of high levels of attachment anxiety.

Additional studies have used retrospective self-report methods in an attempt to further unpack the impact of early relational experiences on adult BPD. Findings from several studies have suggested that early abuse and neglect, particularly if perpetrated by close others, are predictive of adult BPD and may be disruptive for attachment and associated self-other representations [40–43]. Reviews of the existing literature on the relationship between trauma and BPD also suggest that biological and temperamental vulnerabilities combined with the experience of trauma may contribute to the development of personality pathology



specifically [40]. Other recent findings suggest that lack of parental responsiveness and disturbed parental communication in infancy predict dissociation in early adulthood, with childhood verbal abuse the only form of abuse that is additionally predictive [44]. Additionally, perceived emotional invalidation by parents in childhood has been found to mediate the relationship between BPD symptoms and romantic relationship dysfunction [45], suggesting that these early experiences may contribute to dysfunctional ideas about the self and social problem solving that may impact later relationships.

Recent research has also highlighted how attachment may play a role in more general domains related to borderline personality pathology (e.g., self-regulation and dissociation). Research on a polymorphism in the serotonin transporter gene (5-HTTLPR), wherein a short allele (homozygous or heterozygous) has been implicated in different areas of behavioral dysregulation, has been particularly fruitful in this regard. Kochanska and colleagues [46] found a robust interaction between infant attachment organization and this gene with regard to selfregulation in early childhood. Among those with the short 5-HTTLPR allele, insecurely attached children showed poor regulatory capacities on behavioral tasks, whereas securely attached children showed stronger regulatory capacities that were equivalent to those with the homozygous long allele. There were no effects of attachment on self-regulation among children with the homozygous long allele. Zimmerman and colleagues [47] found a similar pattern in adolescents with regard to regulation of autonomy and aggression. In this sample, adolescents who had the short allele but were securely attached displayed more agreeable autonomy in interactions with their parents, whereas those who were insecurely attached displayed more hostile autonomy. This attachment difference was not observed in those with the long allele. Both of these studies suggest that attachment may impact expression of genes related to dysregulation; for example, attachment may moderate a genetic predisposition toward negative reactivity to perceived threats to autonomy. Additionally, findings from van IJzendoorn and colleagues [48] suggest that individuals with the short 5-HTTLPR allele are more likely to show unresolved status with regard to trauma or loss, but only if they also display lower levels of methylation of the gene, suggesting that this effect is more likely to be expressed in the face of adverse environments [48]. In total, these results underline the notion that constitutional factors (e.g., temperament) and environmental factors (e.g., risk, trauma, and parenting) exert influence in relation to each other. Thus, individuals with high constitutional disadvantage likely have a lower threshold for environmental perturbations to overwhelm their capacity to assimilate and accommodate to their environment. Conversely, a

child who has a low constitutional load may be resilient to greater perturbations and require greater disruption to develop BPD.

Parents with Borderline Personality Disorder, and Child Functioning

In an effort to better understand the development and transmission of the disorder, researchers recently have focused on the offspring of parents with BPD. Recent findings suggest that children of mothers with BPD display deficits in emotion regulation, distorted self—other representations, and later problems in psychosocial functioning. Additionally, mothers with BPD display atypical behaviors in interacting with their children that may impact child attachment and later functioning.

In an examination of the nature of interaction between mothers and their infant children during the "Strange Situation," Hobson and colleagues [49] found that a significantly greater proportion of mothers with BPD (as compared with mothers with depression or without a psychopathological diagnosis) displayed disruptive affective communication with their infants. With regard to specific atypical behaviors, in response to attachment bids by their infants, mothers with BPD tended to display frightened and disoriented behavior—behaviors that were very rare in mothers without BPD (even those with high levels of disruptive communication). The authors noted the concerning nature of this pattern of maternal behavior given that it is strongly associated with infant attachment disorganization and thus the aforementioned risks of this attachment pattern.

In a study using a story-stem completion measure to assess self-other representations and emotion regulation, children of mothers with BPD provided narratives about parent figures with significantly more role reversal, fear of abandonment, and negative parent-child relationship expectations than did children of mothers without BPD [50]. The children of mothers with BPD also provided narratives with more incongruent and shameful selfrepresentations than did the comparison children. In terms of emotion regulation, children of mothers with BPD displayed significantly more reality/fantasy confusion, self/fantasy boundary confusion, fantasy proneness, intrusion of traumatic material, and lower narrative coherence compared with controls. In a study examining psychosocial functioning in a sample of adolescents [51], maternal BPD symptoms were significantly associated with adolescent self-perception of ability to make close friends and to be socially accepted, adolescent fearful attachment (using the Bartholomew prototypes), chronic stress in the parent-child relationship, and greater maternal hostility (as rated by the child). These associations remained significant after controlling for maternal history of depression and dysthymic



disorder, as well as youth depressive symptoms, indicating that maternal BPD symptoms may pose a risk factor for children that is independent of depression.

## Neurobiology, Attachment, and Borderline Personality Disorder

Oxytocin and Interpersonal Relationships

While oxytocin is known to be involved in many behaviors that are disturbed among individuals with BPD, evidence is also accumulating concerning its role in promoting and maintaining attachment. Although few studies exist concerning the direct role of oxytocin in BPD, recent research has documented the effects of intranasal oxytocin administration in humans on various social behaviors and has begun to explore the effects of the neuropeptide on brain function. Much of this research may be critical to understanding the role of attachment in BPD.

A burgeoning area of research concerns the neurobiology of trust, a primary ingredient to secure attachment. Baumgartner and colleagues [52] recently extended previous findings on the moderating effects of oxytocin on trust. They found that oxytocin reduces behavioral adaptation (e.g., increased avoidance, mistrust) following experiences of betrayal of trust and that oxytocin led to reductions in activation in the amygdala, midbrain, and striatum—areas related to fear processing and adjusting behavior. Thus, oxytocin appears to decrease aversion to betrayal and thus willingness to continue to take social risks by calming activation in the amygdala and its input to the midbrain, as well as by decreasing implicit appraisal of reward salience of social encounters. Such details have clear links to BPD pathology. Not only do individuals with BPD show abnormalities to social stimuli in fear and reward-related neurocircuitry [53...], but they also perform poorly in games involving trust and betrayal [53]. A potential role of a disturbed oxytonergic system in BPD seems to be highlighted by the finding by Ditzen and colleagues [54] of a direct link between oxytocin and harmony in close relationships among healthy participants. Couples received placebo or oxytocin and completed a conflictual relationship exercise with their partner. Individuals receiving oxytocin exhibited more prosocial behaviors and after conflict, they had lower levels of salivary cortisol, a marker of hypothalamic-pituitary-adrenal axis stress activity. Thus, interpersonal conflict may be moderated by oxytocin, likely due to modulation of trust and level of defensiveness.

Additional research provides an even more richly detailed portrait of a potential oxytonergic role in the disorder. For instance, administering oxytocin to insecurely

attached participants increases rankings of secure attachment characterizations of pictures portraying attachment situations [55]. The neuropeptide also has been shown to be involved in promoting accurate inference of others' mental states [56], an ability thought to be disturbed by insecure attachment [57] and among individuals with BPD. Potentially related, Theodoridou and colleagues [58] found that participants receiving oxytocin appraised faces of strangers as more trustworthy and attractive than those receiving placebo, which is important given that research has found that individuals with BPD show a markedly distinct tendency to identify anger in neutral faces [59]. Thus, disturbed oxytonergic function may be involved in a cluster of overlapping difficulties among individuals with BPD.

The limited amount of research on oxytocin within BPD seems to suggest a complex relationship between this neuropeptide and the disorder. While one preliminary study found, as expected, that administration of oxytocin reduces salivary cortisol in response to social stress among individuals with BPD [60], another study from this group found paradoxical results among individuals with BPD following oxytocin administration [61]. Using a variant of the prisoner's dilemma task, the researchers manipulated whether the partner in the trust game was perceived as cooperative or not. Healthy participants demonstrated more trust following oxytocin administration when they believed their partner was cooperative, which was consistent with previous reports. However, individuals with BPD tended to demonstrate behaviors consistent with mistrust when they perceived their partner as cooperative but demonstrated less mistrust with uncooperative partners. Stanley and Siever [62] interpreted this paradox as suggesting that individuals with BPD view relationships as competitive struggles rather than collaborative efforts, cooperating when a threat is apparent (uncooperative partner) and seeking to create maximum benefits for one's self when a partner is cooperative. Although more research is clearly needed, this interpretation seems to highlight a possible interaction between neurobiology and working models of relationships.

The results of another recent study may corroborate the role of differing working models of relationships among individuals with BPD [53]. Using a similar trust game, King-Casas et al. [53] found individuals with BPD sent social signals that caused recurrent breakdowns in cooperation and were less likely to send signals resulting in repair of cooperation. Although it may be likely that disruption in the oxytonergic system is involved in such difficulties, given that BPD participants demonstrated mistrust in the task and endorsed mistrust across a variety of situations, the authors identified activation in the bilateral insula, an area related to detecting norm violations, as particularly related to differences on this task. Healthy controls showed a strong inverse relationship between anterior insula activation

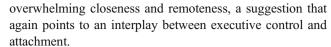


and the amount of money received from a partner, as well as the amount repaid to a partner. However, activity in the insula among BPD patients was not related to the amount of money received from partners. One interpretation is that individuals with BPD have a different set of expectations of social relationships (corresponding to different working models of relationships); thus, they do not detect a violation of social norms in response to a mistrustful exchange with a partner.

Disturbed Controlled and Reflective Processes in Borderline Personality Disorder

The results of the study by King-Casas and colleagues [53] also suggest that individuals with BPD demonstrate disruption in their ability to perceive and generate social behaviors involved in trust and cooperation. This is likely due to an impaired ability to understand their own behavior and the behavior of their partner in terms of mental states an ability known as mentalizing. A burgeoning amount of research has identified various neuropsychological abnormalities, as well as structural and functional irregularities, associated with BPD. Extensive attention has been paid to compromised executive control in the disorder, and some researchers have examined evidence of impaired reflective capacities, such as mentalization. Interestingly, whereas some argue that executive control is both a temperamental variable and a result of development [63], Fonagy and Target [57] discuss a more central link between attachment and reflective capacity. Although the nature of the relationship is not yet fully clear, research has documented evidence of relationships between attachment and controlled and reflective processes, both of which may be important to understanding BPD.

Comparing BPD patients with controls, Minzenberg and colleagues [64] found that individuals with BPD showed marked deficits in executive control and memory, but further identified associations between executive control and attachment avoidance and memory and attachment anxiety. Furthermore, abuse history was related to impaired executive control, and neurocognitive deficits and abuse history exerted main and interactive effects in promoting attachment disturbance. The authors explain the findings as suggesting that attachment avoidance is a defensive effort among individuals with BPD to compensate for poor executive control and a tendency toward overintense involvement. Koenigsberg et al. [65•] provided a similar interpretation to their findings that individuals with BPD show reduced recruitment of brain areas underlying controlled processing while attempting psychological distancing from emotional stimuli. The authors suggest that such difficulties in achieving distance from socioemotional stimuli play a role in difficulties individuals with BPD have in relationships, including characteristic vacillation between



In another study, Koenigsberg and colleagues [65] demonstrated that in response to negative pictures, individuals with BPD show greater activation in areas such as the amygdala and primary visual areas, prompting the authors to suggest individuals with BPD activate a more reflexive circuit compared with the more reflective circuit used by controls. This work is consistent with other findings using heart rate measures [66], which found that individuals with BPD demonstrate less parasympathetic activity in response to emotional films. Greater parasympathetic activity promotes social engagement, whereas less is more indicative of preparedness for fight/flight. Furthermore, Levy and colleagues [67] have shown that attachment coherence and reflective function (RF) relate to neurocognition in terms of impulsivity and executive control. Lower RF and attachment coherence predict increased impulsivity and poorer executive control, particularly concept formation.

These findings are important given that most prominent treatments of BPD state a primary goal of greater self-reflection and less dependence on reflexivity. Additionally, while these deficits may indicate primary neurocognitive disturbances, which then influence attachment behaviors, it seems a worthy hypothesis that disturbed attachment experiences may affect neurocognition and reflective capacity. This is particularly important given recent literature on the neurophysiology of attachment insecurity, findings of improvements in both attachment security and mentalization over the course of psychotherapy [68], and a vast literature on the neurocognitive effects of abuse and neglect. A more thorough discussion of such interplay is beyond the scope of this article, but it is clear that additional research is needed in this area.

#### **Psychotherapy and Intervention Science**

Several clinical investigators have written about the usefulness of assessing attachment, in particular using the Adult Attachment Interview (AAI) to evaluate psychotherapy progress and outcome [69–71]. Although an increasing number of studies have examined attachment and related constructs in BPD, there have been relatively few psychotherapeutic studies of attachment in BPD. This is somewhat surprising given the convergent evidence that relationship dysfunction is the endophenotypic expression of BPD [72], and the value of targeting interpersonal functioning in its treatment.

Despite the paucity of research in this area, attachment theory and research and psychotherapy intersect in several



ways. First, both explicit and implicit attachment-based interventions exist. For instance, Fonagy and Bateman's mentalization-based therapy (MBT) is unambiguously based on attachment theory [8, 73, 74], whereas the transference-focused psychotherapy (TFP) of Levy et al. [75] may be conceived as an implicit attachment theory based treatment. Second, attachment organization or style can be a moderator of treatment utilization, outcome, or dropout. In these studies, attachment organization or style can be conceptualized as a prognostic indicator of treatment outcome. Third, aptitude by specific treatment interaction (ATI) studies examine the degree to which outcome differs by attachment organization or style as a function of a specific treatment type. Such an approach can be used to examine whether a patient with a particular pattern of attachment would be better served in one treatment, whereas another patient with a different attachment pattern might be better served in another (i.e., a prescriptive indicator of treatment). McBride et al. [76] carried out such a study with depressed patients. Fourth, change in attachment could be examined as a mechanism of change or outcome [75].

With regard to attachment-based treatments for BPD, Fonagy and Bateman's MBT was derived from attachment theory and designed specifically for BPD. MBT has been examined in two published randomized controlled trials. Both studies showed excellent results. Comparing the effectiveness of an 18-month MBT partial hospitalization with standard psychiatric care, the authors found individuals treated with MBT demonstrated significantly greater reductions in suicide attempts; inpatient admissions; medication use; and self-report of depression, anxiety, distress, interpersonal functioning, and social adjustment [73]. In an outpatient trial, the researchers found decreases in similar outcomes among both MBT and structured clinical management, but patients receiving MBT showed sharper improvement over 18 months [8]. Bateman and Fonagy [74] also conducted a 5-year follow-up that found clear differences among treatments, including less suicidality among the MBT group (23% vs 74%), lower medication use, higher global functioning, and improved work functioning. In addition, only 13% of participants treated using MBT continued to meet threshold for BPD, compared with 87% in the control group. Although not explicitly based on attachment theory, much of Kernberg's theory of BPD is consistent with basic premises of attachment theory. Studies examining the outcome in TFP have shown its efficacy as well [77, 78]. Taken together, these studies suggest that an attachment-theoretical approach to the treatment of BPD is a potentially fruitful one. The aims of future investigations could be to confirm that the attachment-related components of these interventions are specifically related to change; in particular, designs aimed at prospectively examining mechanisms of change could be used (e.g., component-control studies of the aforementioned treatments). Relatedly, several treatments are not based on attachment theory but use principles that are consistent with attachment theory. For example, both dialectical behavior therapy and schemafocused psychotherapy are increasingly attending to and articulating the importance of attachment-related constructs for conceptualizing the clinical process with BPD patients [79, 80].

A handful of studies have examined change in attachment in BPD patients during the course of treatment. In our own work at the Personality Disorders Institute at Cornell University, changes in attachment organization and RF (i.e., the ability to mentalize) were assessed as putative mechanisms of change in one of three year-long psychotherapy treatments of patients with BPD [30, 81, 82]. In pilot work in 2005, Levy et al. (unpublished data) examined changes in attachment and RF using the AAI in 10 patients treated in a year-long course of TFP. We were able to show changes in both attachment and RF. Of the nine (90%) insecure patients, two became secure (22%), which resulted in 33% of the BPD patients being classified as secure. Of the six unresolved patients (60%), four (67%) lost their unresolved status, resulting in only 40% of the sample being unresolved after 1 year of treatment. We were also able to show significant increases in coherence and RF at the end of treatment [82].

In a randomized controlled trial, 90 reliably diagnosed patients with BPD were randomly assigned to TFP, dialectical behavior therapy, or a modified psychodynamic supportive psychotherapy [30]. Attachment organization was assessed using the AAI and the RF coding scale. After 12 months of treatment, there was a significant increase in the number of patients classified as secure in the TFP group, but not in the other two treatment groups. Specifically, only 1 (4.5%) of the 22 patients in the TFP group was classified as secure prior to treatment, whereas 7 (31.8%) were classified as secure by the end of treatment. By comparison, only one client in each of the other groups (i.e., dialectical behavior therapy and supportive psychotherapy) was classified as secure before and after treatment. Significant changes in narrative coherence and RF were found as a function of treatment, with TFP showing increases in both constructs during the course of treatment. Our findings are especially important given the literature showing that many treatments do not demonstrate specific effects on theory-driven mechanisms. Additionally, these findings are important in light of findings from neuroscience reviewed earlier in this article that suggest that those with BPD have deficits with regard to reflective thinking [65]. These findings indicate that these deficits can be targeted and rehabilitated in treatment.



To date, there is only one study in which researchers examined attachment as a moderator of treatment outcome in a sample of BPD patients. Fonagy and Tallandini-Shallice [83] reported that those with preoccupied attachment had poorer treatment outcomes, particularly those with passive subcategory characterized by high levels of confusion and fearfulness; lack of objectivity; and the presenting of vague, inchoate negativity. The authors posit that the absence of representational structure put these individuals at risk of dropout. Similarly, unpublished data from our trial [30] suggest that BPD patients who were unresolved were more likely to drop out of treatment. We know of no ATI studies on attachment and BPD. Findings from non-personality-disordered samples are mixed, with some suggesting that preoccupied individuals derive greater benefit from cognitive treatments, and those with avoidant attachment benefit from interpersonal treatments, whereas other studies have found the opposite outcomes.

The results across studies suggest that attachment-based treatments are useful in patients with BPD and that attachment principles can be integrated into diverse interventions, from psychodynamic to cognitive-behavioral treatments. Finally, and most exciting, attachment representations and their corresponding categories can be targeted and rehabilitated in treatment, although this findings has only been demonstrated in psychodynamic treatments to date.

#### **Conclusions**

Attachment theory offers a cogent theory for conceptualizing the development and maintenance of the interpersonal difficulties and adaptations that characterize personality pathology, and research from this area has proven to be a powerful paradigm for studying BPD and its vicissitudes. Recent research has focused on examining the role of attachment in the development, maintenance, and change processes in BPD. Findings across these domains are relatively consistent inasmuch as they point to theoretical convergences, suggest new areas for research, and point to preventive mental health services and specific treatment interventions.

Recent findings across developmental, neuroscience, and treatment studies point out the importance of attachment representations, conceptualized as a social-cognitive and affective constructs, for many of the symptoms experienced in BPD. These findings suggest that the social-cognitive aspects of BPD are central and interact with constitutional factors in important ways. Research also suggests that having integrated and coherent representations, or developing such representations, buffers against many of the symptoms of BPD. Particularly important and interesting are the findings

suggesting that a core deficit in BPD is the capacity to engage in reflective thinking and the reliance on reflexive thinking, particularly in situations that call for reflection. Thus, it will be important to develop interventions that are not only geared toward skill development but also toward achieving more integrated representations and reflective capacities in BPD.

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